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# Climate variation, reproductive frequency and acorn yield in English Oaks

Mick E Hanley<sup>1</sup>, Benjamin I Cook<sup>2,3</sup> and Michael Fenner<sup>4</sup>

<sup>1</sup> *School of Biological Sciences, The University of Plymouth, Plymouth, UK*

<sup>2</sup> *NASA Goddard Institute for Space Studies, New York, New York, USA* and <sup>3</sup> *Ocean and Climate Physics, Lamont-Doherty Earth Observatory, Palisades, New York, USA*

<sup>4</sup> *Centre for Biological Sciences, University of Southampton, Southampton, UK*

**Author for correspondence** – Dr Mick Hanley, School of Biological Sciences, Plymouth University, Plymouth PL4 8AA, UK. Telephone- +44 (0)1752 584631; e-mail - mehanley@plymouth.ac.uk

Orchid ID 0000-0002-3966-8919

**Running Head:** Climate and Oak masting behaviour

## 1     **Abstract**

2     **Aims** – Annually variable, but synchronous production of large seed crops (‘masting’) is a  
3     widespread phenomenon in temperate trees. Mounting concerns about the impacts of  
4     anthropogenic climate change (ACC) on plant reproduction, gives urgency to our need to  
5     understand better the role of climate on tree reproduction, and in particular, mast events.  
6     Unlike our understanding of reproductive phenology however, there is little consensus  
7     regarding how climate affects plant reproductive effort, or indeed the actual environmental  
8     triggers that underpin masting behaviour.

9     **Methods** - We used a 27-year record of acorn yield from a population of 12 *Quercus robur*  
10    trees located in southern England to compare masting frequency and post-dispersal acorn  
11    yield each year for each tree, with long-term weather data over the same period. We  
12    focussed on discrete or sequential climate cues (temperature, precipitation, and frost days)  
13    as likely predictors of oak reproduction.

14    **Important Findings** – Annual post-dispersal acorn crop varied greatly; i.e. no acorns in 14  
15    of the 27 years, but there was no sequential pattern of crop versus non-crop years indicating  
16    that weather, rather than resource limitation alone, dictated the timing of reproduction.  
17    Crop years were instead most closely associated with relatively cool late summer  
18    conditions in the preceding year, followed by anomalous summer warmth within crop year.  
19    Acorn yield increased following dry April and above average May and June temperatures  
20    within crop year. Although our results support a general association between warm late  
21    spring and summer conditions, and crop frequency and yield respectively, the influence of

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cooler later summer conditions in the year prior to masting highlights how a combination of weather cues may dictate the occurrence of mast years. Consequently, our results corroborate not only the hypothesis that temperature differentials between consecutive years, not absolute temperatures, may be the better predictor of mast seeding events, but lend support also to the suggestion that reproductive failure and resource accumulation resulting from a climate-linked environmental veto, drives future reproductive synchronization in temperate tree species.

**Keywords** – Anthropogenic climate change; Environmental veto; Moran effect; *Quercus robur*; Reproductive effort

## INTRODUCTION

The synchronous production of seed crops by trees ('masting'), whereby plants display variable between-, but similar within-year high seed yield, is described widely (Salisbury 1942; Kelly 1994; Kelly and Sork 2002; Pearse *et al.* 2016). Its occurrence in nature has been ascribed to the adaptive benefit of economies of scale; i.e. plants investing heavily in reproduction in years when con-specifics do the same incur lower costs per surviving offspring (Norton and Kelly 1988, Kelly 1994). **These** so-called 'Ultimate-level' causes (Pearse *et al.* 2016) include predator satiation, whereby infrequent and unpredictable seed production limits putative predator populations (Janzen 1971); increased pollination efficiency, a consequence of synchronised flowering (Smith *et al.* 1990); and environmental prediction, common where large-scale disturbances (fire, hurricanes etc.) generate spatio-temporally limited recruitment opportunity (Kelly 1994). Of these, predator satiation is perhaps the most widely supported (Pearse *et al.* 2016), but whatever adaptive benefit underpins its evolution, successful seedling recruitment and community persistence is, for many long-lived tree species, limited to 'mast' years (Salisbury 1942; Tapper 1992; Crawley and Long 1995). Fluctuations in seed yield also have important cascading effects on ecosystem interactions (Ostfield and Keesing 2000; Pesendorfer and Koenig 2016; Lichti *et al.* 2017). Consequently, an understanding of the causes and consequences of reproductive behaviour is important from the perspectives of tree population biology, forest management, and conservation.

52 While the actual environmental triggers and plant physiological processes underpinning  
53 masting remain unresolved, there is much evidence that climate is the key proximate cue  
54 (Koenig and Knops 2005; Pearse *et al.* 2016). Variations in temperature or precipitation do  
55 not necessarily signal better conditions for plant growth or development, but by virtue of a  
56 regional influence, synchronise individual reproductive activity within the wider population  
57 (Pearse *et al.* 2016). Consequently, and although reproductive allocation is often moderated  
58 by internal resource budgets (Pearse *et al.* 2016; Bogdziewicz *et al.* 2018), various climate  
59 triggers catalyse different developmental processes such as flower formation, pollen  
60 release, and/or fruit development that then confer adaptive benefits upon the individual and  
61 its progeny (Pearse *et al.* 2016).

62 Although there may be some phylogenetic conservation across species (Koenig *et al.* 2016),  
63 even with a single genus (e.g. *Quercus*), crop synchronicity has been associated with a  
64 variety of climate-triggers. For temperate oak species these include; cool summer  
65 temperatures (*Q. macrocarpa*), or warm spring temperatures 2 years prior to acorn maturity  
66 (*Q. rubra*) (Koenig and Knops 2014); cool, wet conditions in the early autumn (*Q. robur*)  
67 preceding the event (Crawley and Long 1995); and warm spring temperatures (*Q. robur*)  
68 within the crop year (Askeyev *et al.* 2005). For the Mediterranean species, *Quercus ilex*  
69 however, acorn crop was more closely associated with rainfall (Perez-Ramos *et al.* 2010)  
70 highlighting likely variation between climate triggers in temperate versus Mediterranean-  
71 climate regions. Indeed, rather than a single proximate cause, there is consensus that for  
72 most plant species, seed crop yield most likely corresponds with a combination or sequence  
73 of climate cues (see Allen *et al.* 2014; Buechling *et al.* 2016). Kelly *et al.* (2013) for

instance report that for a wide variety of native New Zealand plants (i.e. 15 species from five families), reproductive effort was driven by a difference in temperatures between successive years rather than the absolute temperature within a particular year. Although there is mixed support for this so-called ' $\Delta t$ ' hypothesis (e.g. Koenig and Knops 2014; Pearse *et al.* 2014; Koenig *et al.* 2015; Moreira *et al.* 2015), climate nonetheless likely provides the main proximate cue for synchrony in reproductive timing and effort (Monks *et al.* 2016; Pearse *et al.* 2016).

Notwithstanding the view that climate variation does not explain the ultimate biological (adaptive) reasons for its evolution (Kelly 1994; Pearse *et al.* 2016), the strong link between climate and reproductive effort has important implications at a time of Anthropogenic Climate Change (ACC). A combination of a gradual increase in global temperatures and shifts in precipitation patterns, coupled with an increased incidence of extreme weather events (IPCC 2014), have been implicated widely as causes of observed individual tree mortality and forest dieback (Allen *et al.* 2010; Matusick *et al.* 2013). Although temporal (ontogenetic) ACC-linked mortality patterns are difficult to resolve (Allen *et al.* 2010), the fact that for most plant species the regeneration stage represents the most vulnerable life history phase (Fenner and Thompson 2005) suggests that any climate-linked impacts on reproduction are critical in understanding tree response to ACC. Despite a wealth of recent studies documenting the impact of ACC on plant ecophysiology, distributions, phenology, and plant community responses however, there remains a paucity of information on the influence of ACC on plant regeneration, and in particular, reproductive effort (Parmesan and Hanley 2015).



Given the importance of mast events for tree population persistence and the likelihood that climate extremes will intensify and increase in frequency over coming decades (IPCC, 2014), an understanding of the link between climate and key demographic processes like crop yield would seem to be particularly pressing (Clarke *et al.* 2011; Allen *et al.* 2014). Fenner (1991) was one of the first to draw attention to the importance of keeping long-term records of reproduction in trees to provide a simple bioassay of the effects of climate change. Remarkably, relatively few studies (see Allen *et al.* 2014; Richardson *et al.* 2015; Buechling *et al.* 2016; Gagnard *et al.* 2017) have however, looked at how tree crop yields respond to contemporary climate shifts as a basis to predict how future ACC scenarios will likely affect these events into coming decades. We use a 27-year long record of post-dispersal annual acorn yield (1989-2015) in an even-aged population of 12 *Quercus robur* L. trees located in southern England to explore how climate variability affects oak reproductive behaviour. Specifically, we examine whether **mast years** (defined here as *years where acorn yield exceeded pre- and post-dispersal seed predation*) is associated with any specific individual, combination, or sequence of proximate climate cues.

METHODS

*Study Population and annual assessment of acorn yield*

In October 1989, twelve mature oaks growing on Southampton Common, Hampshire (50.9262°N, 1.4092°W) were chosen to record variation in annual acorn production. All were isolated specimens with an even branching structure, selected for their uniform size

(mean  $dbh = 341$  cm ( $\pm 10.9$  cm 1SE)) and ease with which the acorns could be observed and collected from the ground. While the age of the trees was not determined, they most likely date from the early 19<sup>th</sup> century when grazing ceased on the Common.

Recording occurred each year in the last week of October (21<sup>st</sup> to 26<sup>th</sup>), by which time the trees had shed the bulk of their acorns. Crop samples from each tree were taken from four 50cm-wide transects following compass bearings north, south, east and west of the base of the trunk, extending as the canopy edge (mean length 8.28 m ( $\pm 0.22$ )). On each sampling occasion, the acorns and other debris were raked together and collected in bags before samples were cleaned to leave only the acorns (including a small minority infested by gall-wasps). The fresh weight of these samples was recorded and corresponding dry weight calculated by oven-drying sub-samples overnight at 105°C. We then used transect length to calculate acorn yield (Kg) per unit area (m<sup>2</sup>). By the end of the 27 years of the study, three trees were unusable, either through bramble incursion at the base, or because of branch loss (supplementary Table S1).

We did not attempt to exclude post-dispersal seed predators from our samples for two reasons; first, our study site precluded pan traps commonly used in isolated forests (Allen *et al.* 2014; Richardson *et al.* 2015; Buechling *et al.* 2016). Second we assumed that as the most likely ‘ultimate cause’ (Pearse *et al.* 2016), true mast years are by definition, those where acorn crop exceeded predator consumption. Although this meant we could not quantify acorn crops in the non-mast years, by definition these must have been low-acorn years, as even if acorns were produced, seed predators were able to remove the whole crop before collection. Moreover, even where previous studies have visually estimated pre-

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dispersal cone (Moreira *et al.* 2015; Zamorano *et al.* 2017) or seed numbers (Koenig and Knops 2014; Koenig *et al.* 2015), they are unable to draw strong conclusions regarding tree crop yield and post-dispersal predator satiation.

*Acorn-Climate Analyses*

To investigate climate influences on the occurrence and productivity of acorn mast years, we used monthly spring to autumn (i.e. nine months from February to October corresponding with the growth/reproductive season) observations of maximum and minimum temperatures, frost days, and rainfall. Climate data were obtained from a meteorological station located at 50.8997°N, 1.39556°W (www.southamptonweather.co.uk/sotonhist.php), 3 km from our oak population. For reproductive occurrence, we compared climate data between prior and current crop ( $n=13$ ) and non-crop ( $n=14$ ) years using a two-tailed Student's  $t$ -test. Since we define 'mast years' as those where acorn crop satiated post-dispersal predation (i.e. acorns were left on the ground); all other events were considered to be zero crop years.

For reproductive effort, we compared monthly climate data against acorn yield ('mast' years only) using Spearman's rank correlations. We recognize that the comparison of oak reproductive behavior with multiple climate variables, increases the likelihood of committing type I error. Nonetheless, we chose not to make the *a priori* assumption that weather for periods known to be strongly associated with reproductive effort in other species and studies, would be the sole drivers of acorn production in our oaks. Rather, we sought to eliminate all possibilities outside of the recognized pollination and seed

maturation times and then corroborate *post-priori* any significant ( $P < 0.05$ ) relationships from the literature. Having done this, we then developed a linear regression model to predict acorn crop as a function of seasonal average climate.

## RESULTS

### *Climate and Oak Reproductive Trends*

Mast years (thirteen of the total 27 years of observation) occurred synchronously across all trees, except during 2001 when two failed to produce acorns (supplementary Table S1). The number of trees we were able to observe reduced over time: 12 trees from 1989-1998 (4 events), 11 trees from 1999-2005 (4 events), 10 trees from 2006-2014 (5 events), and nine in 2015 (a crop year). Mean acorn production (Figure 1) ranged between a minimum of 0.018 kg m<sup>-2</sup> in 2001 to a maximum of 0.446 kg m<sup>-2</sup> in 1995. Only in 2001-2003 and 2010 and 2011 did we record consecutive acorn years. Years 2001 and 2002 (0.053 kg m<sup>-2</sup>) were the two lowest yields recorded, and 2010 (0.124 kg m<sup>-2</sup>) was the sixth lowest, all below the mean yield calculated across all trees and mast years (0.196 kg m<sup>-2</sup>).

Within cropping years, there was a large spread in productivity across trees. For individual events, the largest range occurred in 1995 (0.807 kg m<sup>-2</sup> difference between least and most productive trees) and the smallest in 2001 (0.063 kg m<sup>-2</sup> difference between least and most productive trees). Since seed size is generally aplastic (Fenner and Thompson 2005), and for *Q. robur* in particular unlikely to vary by more than a factor of three (Brookes and Wigston 1979; Nikolić and Orlović 2002), we conclude that observed variation in crop yield was most closely associated with change in acorn number rather than individual size.

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***Climate Influence on Mast Year Occurrence***

The likelihood of masting occurrence in any given year depends on both favourable environmental conditions (e.g., climate) and internal resource dynamics (Pearse *et al.* 2016; Bogdziewicz *et al.* 2018). If the latter were the sole mechanism, crop and non-crop years would be expected to alternate, as a high reproductive effort in one year would exhaust resources such that a high acorn crop would be unlikely in the following year even if environmental conditions were favourable. To test whether masting/non-masting years alternate more than would be predicted from random, we conducted a Wald–Wolfowitz runs test by converting the masting data to a sequence of ones (masting,  $n=13$ ) and zeros (non-masting,  $n=14$ ). A ‘runs test’ evaluates the probability that a given sequence of events occurs randomly against the alternative hypotheses that events either tend to cluster together or alternate from one trial (year of observation) to the next. Results indicated that the null hypothesis that the sequencing of masting/non-masting events is random cannot be rejected ( $z = 1.5796$ ,  $P = 0.11$ ), suggesting that internal resource limitation was not the sole driver of mast events.

To investigate possible climate triggers for masting, we compared climate between crop- and non-crop years. Although temperature in the year prior to masting had no discernible influence, July conditions were on average, warmer during the actual mast year (Figure 2: left and centre columns). When recalculated as the difference between the current and previous year, however, the strongest potential triggers were maximum and mean temperatures during July ( $T_{\max} P = 0.028$ ;  $T_{\text{mean}} P = 0.0364$ ) and August ( $T_{\max} P = 0.038$ ;  $T_{\text{mean}} P = 0.007$ ) (Figure 2: right column). This suggests mast years tend to occur

when cold summer conditions during previous year, were followed by anomalous warmth in the same months in the mast year. We found less association between mast seeding occurrence and either the number of frost days or rainfall (Figure 3), the only significant anomalous occurrence being April rainfall ( $P = 0.001$ ) where mast years were more common in drier conditions.

### ***Climate Influence on Acorn Yields***

To estimate climate effects on acorn production while accounting for changes in the number of trees observed, we estimated an average per tree acorn yield in each mast year. Of all climate variables, concurrent year monthly mean temperatures were most strongly related to total acorn production. The strongest correlations were with May (Spearman's  $r = 0.66$ ;  $P = 0.01$ ) and June ( $r = 0.75$ ;  $P = 0.003$ ) temperatures, indicating that warm conditions during these months were associated with larger acorn crops. Averaging mean temperatures together for May and June, we developed a simple linear regression model for acorn yield per tree (Figure 4). The regression was highly significant with large explanatory power ( $P = 0.002$ ,  $r^2 = 0.61$ ) and corresponds with the peak pollination period for oak in southern England (Grime *et al.* 2007). From the slope of the regression, we estimate that acorn yield per tree increases by about  $0.11 \text{ kg m}^{-2}$  per  $^{\circ}\text{C}$  of warming.

## **DISCUSSION**

Despite observing the relatively large between-tree variation in acorn crops reported elsewhere (Herrera *et al.* 1998; Crawley and Long 1995; Koenig and Knops 2000;

Zamorano *et al.* 2017), our oaks exhibited uniform variation in seed crop yield across the population. For example, eight of the 13 mast years, including 2015, were followed by **non-mast years (i.e. no acorns survived post-dispersal predation) for all individuals**. Nonetheless, we found no evidence that high reproductive allocation in one year was followed sequentially by a low acorn crop the next. Although at face value **this may seem to contradict** the view that internal resource dynamics prevent individuals from producing sequential mast crops (Herrera *et al.* 1998; Kelly and Sork 2002; Crone and Rapp 2014), a combination of internal resource dynamics and climate may nonetheless, together trigger masting behaviour.

Aside from dry April conditions, precipitation and the number of frost days had no detectable influence on acorn production; both phenomena can be relatively localised and consequently thought not to impose the uniform regional climate cue needed to ensure regional synchronisation (Norton and Kelly 1988; Kelly *et al.* 2013). Temperature signals in either the previous, or current, years alone were only weakly related to masting occurrence. Masting was, however, strongly associated with a combination of temperature cues across these years; specifically relatively cool conditions in the summer of the year before masting when followed by relatively warmer temperatures during the period of the actual mast year, together promoted mast occurrence. In addition, warm, dry May and June weather within the mast year were associated with relatively high acorn crops, results corroborating studies that associate warm late spring/early summer conditions to high tree seed yield. Askeyev *et al.* (2005), Bogdziewicz *et al.* (2017) and Caignard *et al.* (2017) for example, reported that ‘within-year’ warm spring and summer conditions promoted

increased acorn production in Russian, Polish, and French *Q. robur* populations respectively. Similar relationships were described for the Californian *Q. lobata* (Koenig *et al.* 2015), and Scandinavian *Picea abies* and *Betula* species (Zamorano *et al.* 2017). Consequently, it seems likely that our analyses identified *bona fide* biological relationships between reproductive effort and monthly weather data, rather than generating ‘significant’ correlations due simply to type I error (see Cabin & Mitchell 2000).

The long-accepted explanation for the link between warm, dry spring conditions and high reproductive output in temperate oak species is that this weather promotes pollen transfer in anemophilous trees (Norton and Kelly 1988; Smith, *et al.* 1990). This explanation underpins the *Moran effect* hypothesis; i.e. pollination success and thus reproductive output, is decoupled from mechanisms affecting flower production but is instead, associated with environmental conditions during flowering (Koenig 2012; Pearse *et al.* 2016; Bogdziewicz *et al.* 2017). In addition to warm dry conditions favouring pollination, warm early summer growing conditions in July and August may also promote increased photosynthesis and so increase the resources available for reproductive allocation (Norton and Kelly 1988; Kelly and Sork 2002).

The apparent influence of the summer temperature difference anomaly on acorn crops, also highlights however, the potential importance of *pollen coupling*; i.e. pollination success and seed yield are functions of environmental and/or resource constraints that dictate the parent tree’s ability to produce flowers (Satake and Iwasa 2000; Kelly *et al.* 2001; Monks *et al.* 2016). Bogdziewicz *et al.* (2018) developed this idea further by suggesting that the environment has a ‘veto’ effect on reproductive allocation. Put simply, reproductive failure



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brought about by poor environmental conditions in one year, facilitates the accumulation of resources that plants can then allocate to subsequent (increased) reproductive output. Consequently, masting may not simply arise from the occurrence of favourable environmental conditions. Instead Bogdziewicz *et al.* (2018) argue that masting it is a combination of unfavourable environmental conditions (that limit reproduction), subsequent resource accumulation (more to spend on reproduction), and the environmental triggering of resource release to reproduction when weather conditions are favourable. The cool late summer conditions the year prior to mast (likely reducing seed development and maturation) may have facilitated resource accumulation and high reproductive output when coincidental with above-average summer conditions in mast year. Since a good summer does not predictably follow a bad one, resource accumulation alone cannot dictate acorn yield, and so explain why we failed to detect any sequential pattern of crop/non-crop years. Certainly, our results corroborate a growing consensus that the initiation of masting results from a combination or sequence of climate cues (Allen *et al.* 2014; Buechling *et al.* 2016). Given the close association between oak masting (occurrence and amount), and spring and summer weather we elucidate here, the likely increased temperatures and shifts in precipitation associated with ACC would be expected to affect greatly reproductive timing and output. Our data and analysis suggest a 0.11 kg m<sup>-2</sup> per tree increase in acorn yield per °C of warming; we recognise however, that a scenario of continual increased masting is highly unlikely to unfold. As Buechling *et al.* (2016) point out; positive climate/masting relationships must eventually be constrained by inherent physiological limitations. Indeed, there is an emerging consensus that in order to best understand masting behaviour,

predictive models based on environmental cues for flowering, pollination success and acorn production, must incorporate also a term for internal parental resource budgets (Koenig et al 2015; Pesnedorfer *et al.* 2016; Bogdziewicz *et al.* 2017, 2018). In addition, changes in the abundance and activity of seed and seedling predators, and extrinsic factors affecting germination and seedling dormancy (Newbold and Goldsmith 1981), are additional complexities that serve to highlight the fact that we still understand remarkably little regarding the impacts of ACC on plant regeneration biology (Parmesan and Hanley 2015).

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## SUPPLEMENTARY MATERIAL

Supplementary material (Table S1) is available at *Journal of Plant Ecology* online.

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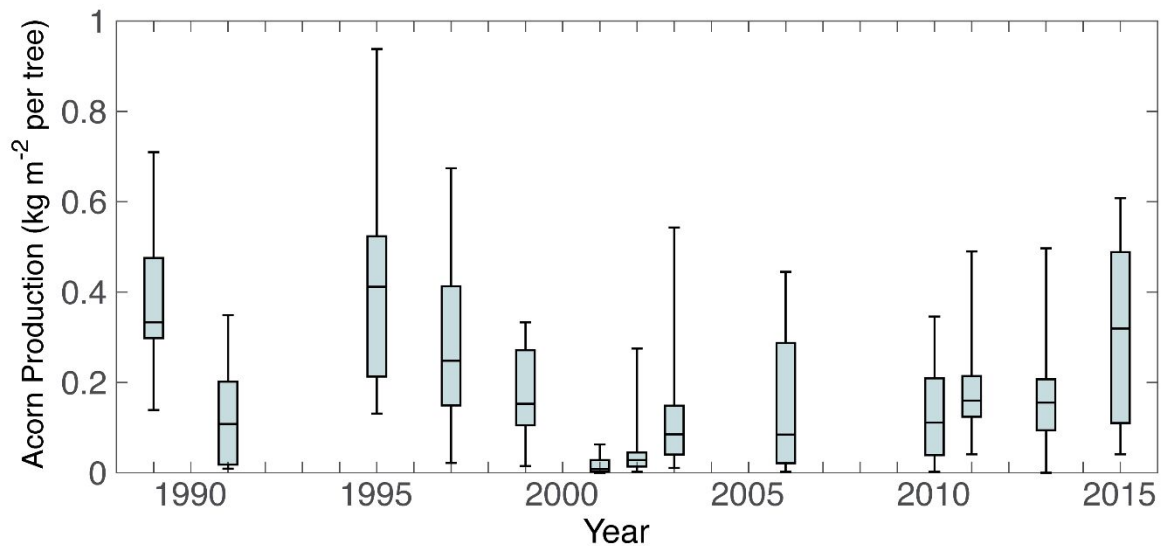
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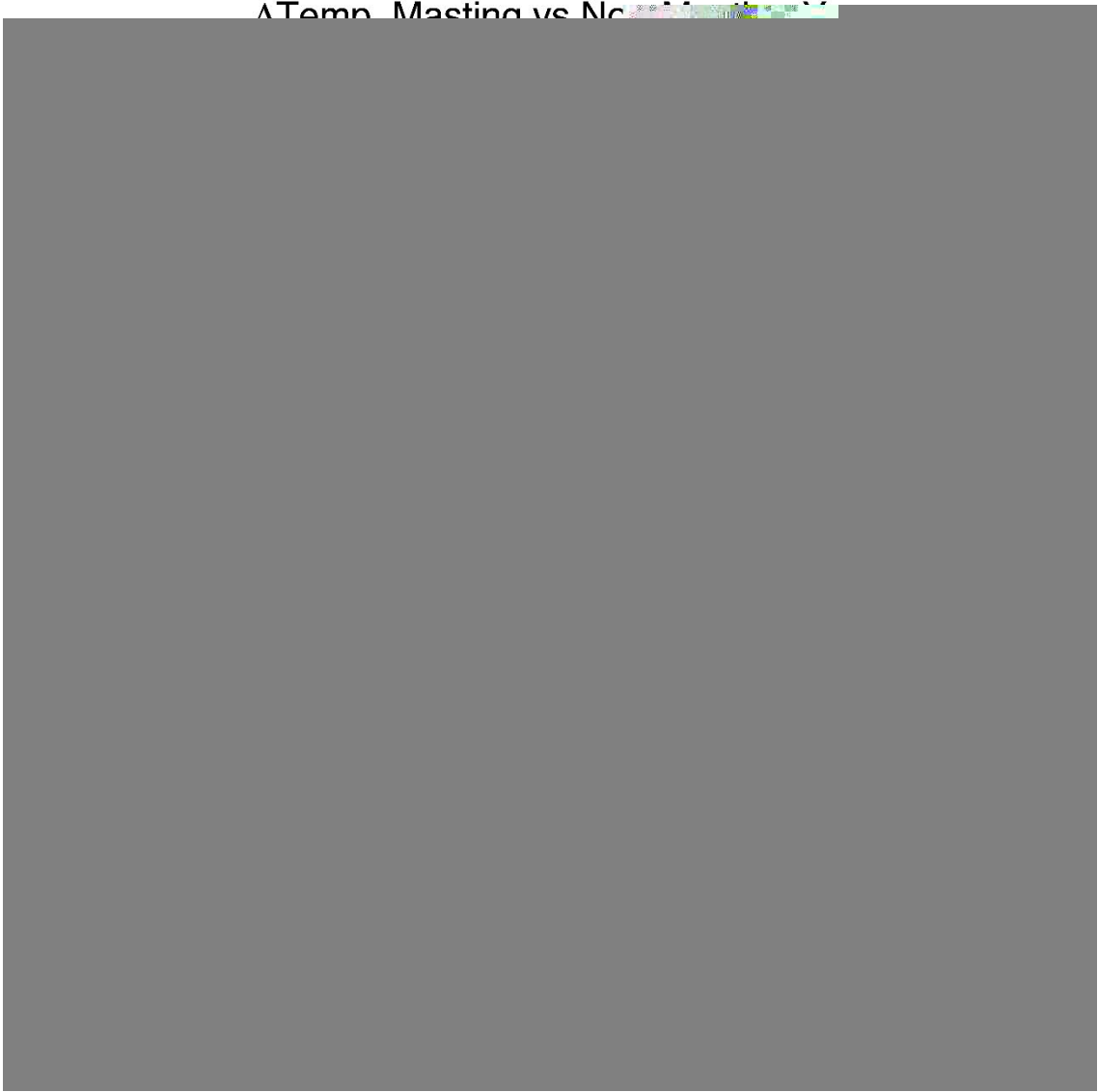
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**Figure 1.** Acorn production (kg m<sup>-2</sup>) across individual trees during mast seeding events recorded from 1989 to 2015 for a population of *Quercus robur* trees growing in Southampton, southern England. Years without a box = zero acorns post-predation.





**Figure 2.** Monthly minimum, maximum, and mean temperature anomalies (significance assessed with a two-sided Student’ *t*-test and indicated by black ( $P < 0.05$ ) dots) associated with mast and non-years for a population of *Quercus robur* trees growing in Southampton, southern England. Results are shown for years prior to the mast

454 **year** (left column); the **mast** year (centre column); the difference between the two  
455 (right column).

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